



26<sup>th</sup> April, 2023

**The Manager - Listing  
BSE Limited**  
25<sup>th</sup> Floor, P. J. Towers,  
Dalal Street,  
Mumbai - 400 001

**Scrip Code: 520073**

**Subject: Compliance Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Financial Year ended 31<sup>st</sup> March, 2023.**

Dear Sir,

In compliance with the Regulation 40(9) & (10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), please find enclosed herewith the Compliance Certificate issued by Ms. Rosy Jaiswal, Practicing Company Secretary, for the financial year ended 31<sup>st</sup> March, 2023.

Kindly take the above information on record and oblige.

Thanking you,

Yours sincerely,  
**For RACL Geartech Limited**

**Dev Raj Arya**  
**Whole Time Director & CFO**



Registered Office

15th Floor, Eros Corporate Tower, Nehru Place, New Delhi-110019, INDIA

Phone: +91-11-66155129 • CIN: L34300DL1983PLC016136 • D-U-N-S Number: 65-013-7086





**CERTIFICATE UNDER REGULATION 40(9) OF THE**  
**SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)**  
**REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2023**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated to transfer securities only in dematerialized form w.e.f. April 01, 2019. SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019. Further, SEBI vide its circular dated September 07, 2020 has fixed March 31, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 02, 2020.

SEBI vide gazette notification dated January 24, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to Equity shares of **M/S RACL GEARTECH LIMITED (COMPANY)** maintained by MAS Services Limited, pertaining to the financial year ended March 31, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

1. The Company has delivered during year ended on March 31, 2023, letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities, duplicate, deletion of name, transposition of securities, consolidation, sub-division (split) and renewal received during the financial year ended March 31, 2023, within the prescribed time, excepting those rejected on technical grounds. As informed to

ROSY  
JAISWAL

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**Company Secretaries**

us there were no requests relating to, exchange or endorsement of calls/allotment monies received during the said period.

2. The Company has not processed any request for physical transfer during the financial year ended on 31<sup>st</sup> March, 2023.

Place: New Delhi

Date: 26/04/2023

For Rosy Jaiswal & Associates

Company Secretaries

ROSY  
JAIWAL

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ROSY JAIWAL  
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Rosy Jaiswal

(Proprietor)

ACS No. 28432, C.P No. 21968

UDIN: A028432E000200001

Peer Review Certificate No:2298/2022